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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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Jeremy S DeBonet

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EXAMINER

TODD, GREGORY G

ART UNIT

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 09/656,765	Applicant(s) DEBONET ET AL.	
	Examiner GREGORY G. TODD	Art Unit 2457	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 23 June 2009.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 39-47, 49-53 and 55-59 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 39-47, 49-53 and 55-59 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>7/20/09</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Response to Amendment

1. This office action is in response to applicant's amendment and request for continued examination filed 23 June 2009, of application filed, with the above serial number, on 07 September 2000 in which claims 39, 47, 49, and 55 have been amended, and claims 58-59 have been added. Claims 39-47, 49-53, and 55-59 are pending in the application.

Specification

2. The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required: The claims have been amended to include a 'time at which each broadcast element of the at least a portion of the plurality of broadcast elements was previously transmitted to the user device'. Applicant provides support in the specification at page 22, lines 7-16, with lines 17-25 further exemplifying the use of the time feature. However, what is taught in the specification is the "time the particular content was *played*" (emphasis added). What time an element is played is and can be different than what time an element is transmitted.

Appropriate correction is required.

Claim Rejections - 35 USC § 101

3. 35 U.S.C. 101 reads as follows:

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Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 55-57 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

The claims are directed to "a computer-usable medium". According to the October 26, 2005 Interim Guidelines for Examining Patent Applications, signal claims are ineligible for patent protection because they do not fall within the four statutory classes of § 101. (See

http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/guidelines101_20051026.pdf).

Page 24 lines 6-7 of the specification identifies the medium to be a transmission medium/ wireless IP. As Applicant has correctly identified, the Specification does not define computer-usable medium. A transmission medium is an example of a computer-usable medium, a transmission medium without any computer has no use, a computer is needed to make a transmission medium usable. MPEP 2111 states for product claim analysis, the broadest reasonable interpretation (BRI) of the claim is to be used in view of the specification. As the specification lacks any definition of a computer-usable medium, the BRI would be that the transmission medium of the specification is a computer-usable medium as a computer would use the transmission medium.

In order to expedite a comprehensive examination of the instant application, the claims rejected under 35 U.S.C. 101 (non- statutory) above, are further rejected as set forth below in anticipation of applicant amending these claims to place them within the admissible statutory categories of invention.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 39-46, 49-53, and 55-59 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hitson et al (hereinafter "Hitson", 2002/0010759) in view of Logan (hereinafter "Logan", 5,721,827).

As per Claim 39, Hitson teaches a method of operating a server to provide a customized broadcast comprising:

maintaining a user profile including information relating to a preference of a user associated with the user profile (at least paragraph 54-55; user registration/ profile/ preferences);

maintaining a user listening history comprising a list identifying at least a portion of a plurality of broadcast elements previously transmitted to a user device (at least paragraph 139, 151; previously transmitted playlist providing feedback, adjusting preferences);

without selection from a user device, automatically selecting a plurality of broadcast elements comprising a song broadcast element and an advertising broadcast element based on the user profile and the user listening history (at least paragraph 11, 14, 90; advertisements and song/ playlists based on user preferences/ previous playlist); and

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transmitting the plurality of broadcast elements to the user device (at least paragraph 76; eg. listen now stream).

Hitson fails to explicitly teach a time at which each broadcast element of the at least a portion of the plurality of broadcast elements was previously transmitted to the user device. However, the use and advantages for using such a system is well known to one skilled in the art at the time the invention was made as evidenced by the teachings of Logan. Logan teaches a player being programmed to play identified segments at particular times of day, such as listening to a scheduled radio broadcast (at least col. 37:14-35). Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made, to incorporate the use of Logan's system with Hitson as Hitson does teach the user selecting when to download content in the future by monitoring the time, thereby enabling Hitson's system at a specified time, as well as scheduling content downloads (at least paragraph 81, 149), and it would have been obvious to combine Logan's time based broadcast scheduling as Logan identifies it to be useful to listen to particular broadcasts at certain times of day.

As per Claim 40, Hitson fails to explicitly teach wherein the plurality of broadcast elements further comprise a weather broadcast element. However, the use and advantages for using such a system is well known to one skilled in the art at the time the invention was made as evidenced by the teachings of Logan. Logan teaches interrupting programmed broadcasts for program segments such as weather (at least col. 37:14-35). Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made, to incorporate the use of Logan's weather

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information with Hitson as this would enhance Hitson's system as Hitson teaches such content can be audio (at least paragraph 43) and Logan teaches the listener desiring such information, being another form of sound recordings in addition to music, related to weather, sports, etc as certain times of day, with the interruption having a way of returning to the original broadcast.

As per Claim 41. The method of claim 39 further comprising modifying the information in the user profile based on the plurality of broadcast elements that are selected automatically (at least paragraph 139, 151; adjusting preferences).

As per Claim 42, Hitson fails to explicitly teach without selection from the user device, automatically selecting an alert broadcast element selected from a group consisting of a weather alert broadcast element, a traffic alert broadcast element, and a stock price alert broadcast element based on the user profile; halting transmission of one of the plurality of broadcast elements to the user device prior to completion of the transmission of the one of the plurality of broadcast elements; and transmitting the alert broadcast element to the user device while the transmission of the one of the plurality of broadcast elements is halted. However, the use and advantages for using such a system is well known to one skilled in the art at the time the invention was made as evidenced by the teachings of Logan. Logan teaches interrupting programmed broadcasts for program segments such as weather (at least col. 37:14-35). Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made, to incorporate the use of Logan's weather information with Hitson as this would enhance Hitson's system as Hitson teaches such content can be audio (at least paragraph 43)

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and Logan teaches the listener desiring such information, being another form of sound recordings in addition to music, related to weather, sports, etc as certain times of day, with the interruption having a way of returning to the original broadcast.

As per Claim 43. The method of claim 39 wherein the user profile includes information indicating a preference of a frequency of selection and transmission of a plurality of types of broadcast elements (at least paragraph 151; restricting frequency).

As per Claim 44. The method of claim 39 wherein the plurality of broadcast elements further comprise a personalized broadcast element that includes a reference to a name of a user associated with the user device (at least paragraph 75-76, 61, 69-70; username).

As per Claim 45. The method of claim 39 further comprising iteratively repeating without selection from the user device, automatically selecting and transmitting the plurality of broadcast elements to the user device (at least paragraph 44; continuous data stream).

As per Claim 46. The method of claim 39 wherein the plurality of broadcast elements are sequentially transmitted to the user device (at least paragraph 44; stream).

As per Claim 58. (New) The method of claim 43 wherein the plurality of types of broadcast elements comprise at least two types of broadcast elements selected from a group consisting of: songs, introductions, news, traffic, weather, sports scores and game reports, stock prices and news, jingles and station identification, advertisements, school closings, reminders, instructions, time, date, talk/morning show, and serialized radio programs (at least paragraph 55, 151-153; content frequency of content providers,

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including advertisers and content creators (artists); Logan col. 37:14-35; identified segments including weather, sports, etc).

Claims 49-53, 55-57, and 59 do not, in substance, add or define any additional limitations over claims 39-46 and therefore are rejected for similar reasons.

6. Claim 47 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hitson and Logan, further in view of Mackintosh et al (hereinafter "Mackintosh", 6,317,784).

Hitson and Logan fail to explicitly teach wherein automatically selecting the plurality of broadcast elements further comprises selecting a disc jockey introduction broadcast element, and mixing the disc jockey introduction broadcast element with the song broadcast element such that the song broadcast element includes the disc jockey introduction broadcast element. However, the use and advantages for using such an audio element is well known to one skilled in the art at the time the invention was made as evidenced by the teachings of Mackintosh (at least col. 3, lines 1-16). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the use of DJ tracks being incorporated into Hitson and Logan's system as Hitson teaches such content can be audio (at least paragraph 43) and DJ commentary is simply another form of audio content to be streamed and mixed as well known in the radio broadcast arts.

Response to Arguments

7. Applicant's arguments filed 23 June 2009 with respect to the 101 Rejection have been fully considered but they are not persuasive.

With regard to the 101 Rejection, Applicant argues the computer-usable medium to be statutory. However, the specification on page 24, lines 5-8, specifically states that mediums are transmission, wired, wireless IP and communications media. In fact, every reference to the word media or medium in the specification (p. 2, 4, 5, 6, 13, 23) is preceded by 'transmission', with transmission media not being statutory. As stated above, Page 24 lines 6-7 of the specification identifies the medium to be a transmission medium/ wireless IP. As Applicant has correctly identified, the Specification does not define computer-usable medium. A transmission medium is an example of a computer-usable medium, a transmission medium without any computer has no use, a computer is needed to make a transmission medium usable. MPEP 2111 states for product claim analysis, the broadest reasonable interpretation (BRI) of the claim is to be used in view of the specification. As the specification lacks any definition of a computer-usable medium, the BRI would be that the transmission medium of the specification is a computer-usable medium as a computer would use the transmission medium.

Applicant's arguments with respect to claims 39, 49, and 55 have been considered but are moot in view of the new ground(s) of rejection.

With regard to the previous 102 Rejection of claim 44 and 53 in view of Hitson. Hitson teaches users indicating preferences for various content genres when configuring an account (at least paragraph 151-153). Such user rules allowing for

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restriction of ratios of new content to old and tolerance for other genre/ type of content. While Applicant may suggest types of content as being songs, advertisements, etc., such types are not specified by the claims. The content genres as Hitson teaches can include genres of music types or genres of content providers, including advertisers or other content creators (at least paragraph 153). Hitson further teaches users having a My Station on a registered home page (at least paragraph 75-76, 61, 69-70). The claim language interpreted broadly as including 'a reference to a name of the user', such 'reference' being associated with the user's personal home page. Examiner again respectfully points out that Applicant's claim terminology is much broader than the 'jingles' and audio elements saying 'Fred' that Applicant suggests the claims as teaching. Applicant argues "Hitson fails to teach that this reference is included within a personalized broadcast element", however, again, the claim terminology is broader than that which Applicant suggests. The claim terminology merely suggests the element somehow referencing a name of the user associated with the user device. Such name referencing, as to the broadest reasonable interpretation, would be, for example, the username in the metadata of the element.

Applicant has amended the claims to include maintaining 'a time at which each broadcast element of the at least a portion of the plurality of broadcast elements was previously transmitted to the user device'. Applicant provides support in the specification at page 22, lines 7-16, with lines 17-25 further exemplifying the use of the time feature. However, what is taught in the specification is the "time the particular content was

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played" (emphasis added). What time an element is played is and can be different than what time an element is transmitted. Clarification is requested.

Conclusion

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Previously cited Root et al (col. 6:5-18; automatic custom weather service delivery alerts), Drosset et al, Herz et al, Chen et al (par. 41-43; profile subsystem automatically controlling selector for may element types), Bates et al (automatic audio broadcast selection), Cliff (automatic compilation of songs), Rosenberg et al, Srinivasan et al, Lotspiech et al, and Rouchon are cited for disclosing pertinent information related to the claimed invention. Applicants are requested to consider the prior art references for relevant teachings when responding to this office action.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to GREGORY G. TODD whose telephone number is (571)272-4011. The examiner can normally be reached on Monday - Friday 9:00am-5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ario Etienne can be reached on (571)272-4001. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/ARIO ETIENNE/

Supervisory Patent Examiner, Art Unit 2457

/G. G. T./

Examiner, Art Unit 2457